



Attorney's Docket No.: 5353C2/459005

#3  
\$ Receipt  
DAC  
(S)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Wallace T.Y. Tang  
Serial No. : 09 909,766  
Filed : July 19, 2001  
Title : IN-SITU REAL-TIME MONITORING TECHNIQUE AND APPARATUS FOR  
ENDPOINT DETECTION OF THIN FILMS DURING CHEMICAL/MECHANICAL  
POLISHING PLANARIZATION

Art Unit : Unknown  
Examiner : Unknown

Commissioner for Patents  
Washington, D.C. 20231

CORRECTION OF SMALL ENTITY STATUS  
AND REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

This application did not and does not request small entity status. The calculation of fees as a large entity on page 2 of the transmittal later was correct. The designation of this application as claiming small entity status was Patent Office error.

It appears that a \$579.00 credit was received from the Patent Office. Enclosed is a check for \$579.00 for payment of the deficiency.

Please correct the Filing Receipt for the above-referenced application to designate "Large Entity".

Please supply a corrected Filing Receipt to the undersigned with respect to this application. A copy of the original Filing Receipt showing the desired changes in red ink is attached for your convenience.

If there are any other charges or credits, please apply them to Deposit Account No. 06-1050.

Adjustment date: 03/21/2002 AWONDAF1  
06/17/2001 Y61ZAW 00000001 09909766  
01 FC:201 -355.00 OP  
02 FC:202 -80.00 OP  
03 FC:203 -144.00 OP

03/21/2002 AWONDAF1 00000146 061050 09909766

01 FC:101 161.00 CH 579.00 OP  
02 FC:103 288.00 CH  
03 FC:102 168.00 CH

The PTO did not receive the following  
listed item(s) A check of \$ 579.00

ORIGINAL FILE

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

February 20, 2002  
Date of Deposit  
Signature Carlos Brasil

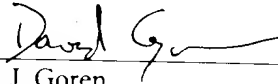
CARLOS BRASIL  
Typed or Printed Name of Person Signing Certificate

Applicant : Wallace T.Y. Tang  
Serial No. : 09 909,766  
Filed : July 19, 2001  
Page : 2

Attorney's Docket No.: 05542-459005 / 5353C2/CMP

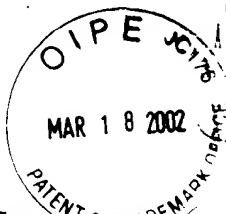
Respectfully submitted,

Date: 2/20/02

  
\_\_\_\_\_  
David J. Goren  
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50078255.doc



UNITED STATES PATENT AND TRADEMARK OFFICE

 Page 1 of 3  
 Please Correct Small Entity  
 IDS 10-19-01

NO CERC

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/909,766	07/19/2001	1763	579	5353C2/459005	4	36	5

CONFIRMATION NO. 2971

## FILING RECEIPT



\*0C000000006440845\*

 Patent Counsel  
 Applied Materials, Inc.  
 Legal Affairs Department  
 P.O. Box 450A  
 Santa Clara, CA 95052

REC'D AUG 21 2001

Date Mailed: 08/17/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Wallace T. Y. Tang, Warren, NJ;

## Assignment For Published Patent Application

Applied materials, Inc., a Delaware corporation;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 07/996,817 12/28/1992

## Foreign Applications

If Required, Foreign Filing License Granted 08/10/2001

Projected Publication Date: 11/29/2001

Non-Publication Request: No

Early Publication Request: No

\*\*SMALL ENTITY\*\*

LARGE ENTITY

Title

In-situ real-time monitoring technique and apparatus for endpoint detection of thin films during

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AWAT IP

02/06/02 14:16 P.004/005

Page 2 of 3

chemical/mechanical polishing planarization

**Preliminary Class**

438

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**Data entry by : SEDIQEE, AHMADULLAH**

**Team : OIPE**

**Date: 08/17/2001**

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100